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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Please type a plus sign (+) inside the box [+]

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))Attorney Docket No. 04860.P2654
(maximum 12 characters)First Named Inventor Alexander M. RosenbergTitle: Systems and Methods for Using Media Upon Insertion into a Data Processing SystemExpress Mail Label No. EL 431 891 590 USpro
10/28/01
10/28/01
10/28/01ADDRESS TO: **Assistant Commissioner for Patents**
Box Patent Application
Washington, D. C. 20231**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1. **Fee Transmittal Form (e.g., PTO/SB/17)**
(Submit an original, and a duplicate for fee processing)
2. _____ **Applicant Claims Small Entity Status. (37 CFR 1.27)**
3. **Specification (Total Pages 32)**
(preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. **Drawings(s) (35 USC 113) (Total Sheets 6)**
5. **Oath or Declaration (Total Pages 5)**
 - a. _____ Newly Executed (Original or Copy)
 - b. _____ Copy from a Prior Application (37 CFR 1.63(d))
(for Continuation/Divisional with Box 17 completed)
 - i. _____ **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. _____ Unsigned.
6. _____ **Application Data Sheet. (37 CFR 1.76)**
7. _____ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. _____ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. _____ Computer Readable Form (CRF)
 - b. _____ Specification Sequence Listing on:
 - i. _____ CD-ROM or CD-R (2 copies); or
 - ii. _____ paper
 - c. _____ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. _____ Assignment Papers (cover sheet & documents(s))

10. a. Separate 37 CFR 3.73(b) Statement (where there is an assignee)
 b. Power of Attorney

11. English Translation Document (if applicable)

12. a. Information Disclosure Statement (IDS)/PTO-1449
 b. Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)

15. Certified Copy of Priority Document(s) (if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: Copy of postcard with Express Mail Certificate of Mailing

18A. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Of Continuation — Divisional — Continuation-in-part (CIP)
Prior Application No.: _____ Examiner _____ Group Art Unit _____

(which is a continuation/ divisional/ CIP of prior application no. _____, which is a continuation/ divisional/ CIP of prior application no. _____) (List entire chain of priority)

For CONTINUATION AND DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18B. Statement under 37 CFR 3.73(b) for continuing application:

The undersigned states that _____ (name of assignee) is the assignee of the entire right, title, and interest in the accompanying patent application by virtue of an assignment recorded in the Patent and Trademark Office at Reel. No. _____ Frame No. _____ (or a copy of which is attached).

19. Correspondence Address

**Customer Number or Bar Code Label
or
X Correspondence Address Below** **(Insert Customer No. or Attach Bar Code Label here)**

NAME James C. Scheller, Jr.

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

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Seventh Floor

CITY Los Angeles STATE California

ZIP CODE 90025-1026

Country U.S.A. TELEPHONE (408) 720-8300 FAX (408) 720-9397

ANSWER

Name (PRINT/TYPE): James C. Scheller, Jr. Registration No.: 31,195
Signature: James C. Scheller Date: 1/8/2005

JC903 U.S. PTO
09/757406
01/08/01

EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL 431891590 US
Date of Deposit: JANUARY 8, 2001

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

CONNIE THAYER

(Typed or printed name of person mailing paper or fee)

Connie Thayer

(Signature of person mailing paper or fee)

1-8-2001

(Date signed)

Serial/Patent No.: *** Filing/Issue Date: January 8, 2001
Client: Apple Computer, Inc.
Title: SYSTEMS AND METHODS FOR USING MEDIA UPON INSERTION INTO A DATA PROCESSING SYSTEM

BSTZ File No.: 04860, P2654 Atty/Secty Initials: JCS/clt
Date Mailed: 1-8-2001 Docket Due Date: ***

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- Amendment/Response (____ pgs.)
- Appeal Brief (____ pgs.) (in triplicate)
- Application - Utility 32 pgs., with cover and abstract
- Application - Rule 1.53(b) Continuation (____ pgs.)
- Application - Rule 1.53(b) Divisional (____ pgs.)
- Application - Rule 1.53(b) CIP (____ pgs.)
- Application - Rule 1.53(d) CPA Transmittal (____ pgs.)
- Application - Design (____ pgs.)
- Application - PCT (____ pgs.)
- Application - Provisional (____ pgs.)
- Assignment and Cover Sheet
- Certificate of Mailing
- Declaration & POA (5 pgs.) unsigned
- Disclosure Docs & Orig & Copy of Inventor Signed Later (____ pgs.)
- Drawings: 6 # of sheets includes 6 figures
- Express Mail No.: EL431891590US Check No. 40156
- _____ Month(s) Extension of Time Amt: \$2126.00
- Information Disclosure Statement & PTO-144 (____ pgs.) Check No. _____
- Issue Fee Transmittal
- Notice of Appeal
- Petition for Extension of Time
- Petition for _____
- Postcard
- Power of Attorney (____ pgs.)
- Preliminary Amendment (____ pgs.)
- Reply Brief (____ pgs.)
- Response to Notice of Missing Parts
- Small Entity Declaration for Indep. Inventor/Small Business
- Transmittal Letter, in duplicate 3 pgs
- Fee Transmittal, in duplicate 2 pgs

Other: _____

FEE TRANSMITTAL FOR FY 2001

TOTAL AMOUNT OF PAYMENT (\$) 2,126.00

Complete if Known:

Application No. _____

Filing Date January 8, 2001First Named Inventor Alexander M. Rosenberg

Group Art Unit _____

Examiner Name _____

Attorney Docket No. 04860.P2654

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:Deposit Account Number 02-2666

Deposit Account Name _____

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.172. Payment Enclosed: Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEELarge Entity Small Entity

Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	710	201	Utility application filing fee	\$710.00
106	320	206	Design application filing fee	_____
107	490	207	Plant filing fee	_____
108	710	208	Reissue filing fee	_____
114	150	214	Provisional application filing fee	_____

SUBTOTAL (1)\$ 710.002. EXTRA CLAIM FEES

Fee from below	Fee Paid
Total Claims <u>72</u> - <u>20</u> = <u>52</u> X <u>18.00</u> = <u>936.00</u>	
Independent Claims <u>9</u> - <u>3</u> = <u>6</u> X <u>80.00</u> = <u>480.00</u>	
Multiple Dependent Claims <u>0</u> X <u>0</u> = <u>0</u>	

**Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
103	18	203	Claims in excess of twenty	<u>936.</u>
102	80	202	Independent claims in excess of 3	<u>480.</u>
104	270	204	Multiple dependent claim	_____
109	80	209	Reissue independent claims over original patent	_____
110	18	210	Reissue claims in excess of 20 and over original patent	_____

SUBTOTAL (2) \$ 1416.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity			
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	_____
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	_____
139	130	139	130	Non-English specification	_____
147	2,520	147	2,520	For filing a request for reexamination	_____
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	_____
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	_____
115	110	215	55	Extension for response within first month	_____
116	390	216	195	Extension for response within second month	_____
117	890	217	445	Extension for response within third month	_____
118	1,390	218	695	Extension for response within fourth month	_____
128	1,890	228	945	Extension for response within fifth month	_____
119	310	219	155	Notice of Appeal	_____
120	310	220	155	Filing a brief in support of an appeal	_____
121	270	221	135	Request for oral hearing	_____
138	1,510	138	1,510	Petition to institute a public use proceeding	_____
140	110	240	55	Petition to revive unavoidably abandoned application or unavoidably delayed payment of issue fee	_____
141	1,240	241	620	Petition to revive unintentionally abandoned application or unintentionally delayed payment of issue fee	_____
142	1,240	242	620	Utility issue fee (or reissue)	_____
143	440	243	220	Design issue fee	_____
144	600	244	300	Plant issue fee	_____
122	130	122	130	Petitions to the Commissioner	_____
123	50	123	50	Petitions related to provisional applications	_____
126	180	126	180	Submission of Information Disclosure Stmt	_____
581	40	581	40	Recording each patent assignment per property (times number of properties)	_____
146	710	246	355	For filing a submission after final rejection (see 37 CFR 1.129(a))	_____
149	710	249	355	For each additional invention to be examined (see 37 CFR 1.129(b))	_____
179	710	279	355	Request for Continued Examination (RCE)	_____
169	900	169	900	Request for expedited examination of a design application	_____

Other fee (specify) _____

Other fee (specify) _____

SUBTOTAL (3)\$ 0

*Reduced by Basic Filing Fee Paid

SUBMITTED BY:

Typed or Printed Name: James C. Scheller, Jr.

Signature: J. Scheller Date: 1/8/2001 JC

Reg. Number: 31,195 Telephone Number: (408) 720-8300